

This template may be used by Foundation trusts and NHS trusts to record the self-certifications that must be made under their NHS Provider Licence.
You do not need to return your completed template to NHS Improvement unless it is requested for audit purposes.

Self-Certification Template - Conditions G6 and CoS7

Oxford Health NHS Foundation Trust

Insert name of organisation



Foundation Trusts and NHS trusts are required to make the following self-certifications to NHS Improvement:

Systems or compliance with licence conditions - in accordance with General condition 6 of the NHS provider licence

Availability of resources and accompanying statement - in accordance with Continuity of Services condition 7 of the NHS provider licence (Foundation Trusts designated CRS providers only)

These self-certifications are set out in this template.

How to use this template

- 1) Save this file to your Local Network or Computer.
- 2) Enter responses and information into the yellow data-entry cells as appropriate.
- 3) Once the data has been entered, add signatures to the document

Declarations required by General condition 6 and Continuity of Service condition 7 of the NHS provider licence

The board are required to respond "Confirmed" or "Not confirmed" to the following statements (please select 'not confirmed' if confirming another option). Explanatory information should be provided where required.

1 & 2 General condition 6 - Systems for compliance with licence conditions (FTs and NHS trusts)

1 Following a review for the purpose of paragraph 2(b) of licence condition G6, the Directors of the Licensee are satisfied that, in the Financial Year most recently ended, the Licensee took all such precautions as were necessary in order to comply with the conditions of the licence, any requirements imposed on it under the NHS Acts and have had regard to the NHS Constitution.

Confirmed OK

3 Continuity of services condition 7 - Availability of Resources (FTs designated CRS only)

ETHERE:

3a After making enquiries the Directors of the Licensee have a reasonable expectation that the Licensee will have the Required Resources available to it after taking account distributions which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate.

OR

3b After making enquiries the Directors of the Licensee have a reasonable expectation, subject to what is explained below, that the Licensee will have the Required Resources available to it after taking into account in particular (but without limitation) any distribution which might reasonably be expected to be declared or paid for the period of 12 months referred to in this certificate. However, they would like to draw attention to the following factors (as described in the text box below) which may cast doubt on the ability of the Licensee to provide Commissioner Requested Services.

Confirmed

Please fill details in cell E22

3c In the opinion of the Directors of the Licensee, the Licensee will not have the Required Resources available to it for the period of 12 months referred to in this certificate.

Statement of main factors taken into account in making the above declaration
In making the above declaration, the main factors which have been taken into account by the Board of Directors are as follows:

The Board considered the financial resourcing risks to the delivery of CRS amongst the other areas relevant to this certification aspect. As part of those considerations was the Trust's current and anticipated contract position with commissioners (in Oxfordshire, Buckinghamshire and for specialised services with NHS England) for FY19, the Board reflected upon anything impeding the Board's ability to conclude its 'reasonable expectation' given the necessary clarity needed with regard to the planned closure of funding gaps over a period of time greater than 19/20. The Trust has evidenced activity increases and delivers high levels of efficiency, but is negotiating and preparing for the possibility that it may need to review thresholds for access to services so that there is a realistic prospect of reducing activity levels to the capacity it is funded to provide. It is recognised this would also have implications for the wider health and care system, all of which have recently been discussed in public Board meetings, but equally, the recognition and support of commissioners to resolve this was accepted. The Board did consider the point regarding workforce was the area this year of most uncertainty, and although with respect to finance, the position is improved from last year, we are yet to resolve our longer-term perspective of funding risk. From a cash point of view, it was considered the Trust would continue to deliver services 12 months from now, but concerning workforce, the Board felt this would continue to be a challenge despite the positive actions including such as nursing associates which are now starting to come good, but it was acknowledged would not solve all problems quickly enough as the temporary closure of City indicated.

Signed on behalf of the board of directors, and, in the case of Foundation Trusts, having regard to the views of the governors

Signature



Signature



Name: David Walker

Name: Stuart Bell

Capacity: Trust Chair

Capacity: Chief Executive

Date: 24 May 2019

Date: 24 May 2019

Further explanatory information should be provided below where the Board has been unable to confirm declarations under G6.